



Post-Award and Reporting Requirements

Annette Perry

<http://www.arc.gov.au/applicants/variation.htm>

http://www.arc.gov.au/applicants/reported_requirements.htm



Definition of Post-Award

Post-award variations are changes to a project that are consistent with:

- the **ARC Act**;
- **Funding Rules**;
- the **Approved Proposal**;
- the **special conditions** stipulated in the offer of award; and
- the general conditions of the ARC **Funding Agreement**.



Types of Post-Award Variations

Investigator-based changes include:

- CI/PI
- Fellowships
- APAs
- Employment provisions (relocation, maternity)



Types of Post-Award Variations

Project-based changes include:

- Revised research plans
- Financial/budget (including carry forward)
- Timeframe (deferment /extension/ suspension)
- Relinquishment/terminations



Types of Post-Award Variations

Organisational-based changes include:

- Transfers of projects/fellowships
- Partner Organisations commitments



Good Post-Award Variation Requests

- adhere with the Funding Rules, Funding Agreement and ARC advice relevant to the project;
- are **timely**;
- are **correctly completed** on ARC forms;
- are **signed** by an appropriate delegate; and
- include all necessary **supporting documentation**.



Reporting Requirements

Purpose:

- Important for ensuring accountability and good management of public funding;
- Draws attention to concerns/problems as well as “good news stories”;
- Provides statistical information; and
- Supports the ARC’s advocacy for the funding of quality research.



Reporting Requirements - Types

- Industry Partner Agreed Contribution Report (IPACR – *Linkage Projects* only)
- Progress Reports
- Annual reports (Centres and Networks)
- Final Reports
- End of Year Reports
- Audited Financial Statements



Industry Partner Agreed Contribution Report (IPACR) - *LP only*

- Written agreement must be in place **prior to commencement** of an LP project
- Completed form must be submitted once written agreement **established** or **revised**
- Form available on the ARC's Website.



Progress, Annual and Final Reports

- Reporting advice
- Meeting obligations
 - Progress Reports – **31 January** each year
 - End of Year Reports – **31 March** each year
 - Final Reports – check relevant **Funding Agreement**
- Future: RMS and Research Evaluation



End of Year Reports

Purpose:

The ARC is required to report on its grant funding performance to parliament.

The End of Year Report:

- provides the ARC with information on expenditure of ARC funding per project
- can be used as mechanism to request additional funding (e.g. maternity, relocation, APAI ½ yr extensions)



End of Year Reports

- please **do not copy or amend** the spreadsheet;
- report on expenditure of **ARC funding only**;
- follow the **instructions**;
- notify the ARC if you **disagree** with the ARC generated information;
- attach all necessary **supporting documentation**;
- ensure that the hard copy is submitted and **signed** by an appropriate delegate; and
- provide the ARC with **feedback** for input into RMS.



Audited Financial Statements

- Must comply with the **financial statements guidelines** issued by DEST - <http://www.dest.gov.au>
- Due **30 June** each year
- Should be **consistent** with the End of Year Report
- Contact the **ARC's Finance team** for advice



Future.... ?

- ARC will introduce a 'new' GAMS system in the very near future – RMS.
- RMS will provide a stream-lined approach to:
 - Application submission
 - Assessment
 - Reporting (financial and project progress/final)



Thank YOU!

Questions?